

Shareholders Service Group – At a Glance

Asset Custody and Securities Brokerage for Clients of Registered Investment Advisors

Shareholders Service Group (SSG) provides investment advisors with vast resources, a wide variety of investment options, advisor-specific technology, and a commitment to service above all. SSG has chosen Pershing as its clearing and custody partner. We specialize in meeting the unique technology and service requirements of independent investment advisors and their clients.

Experience and Expertise

Shareholders Service Group is a SEC-registered broker/dealer, a member of the Fiduciary Industry Regulatory Authority (FINRA) and a member of the Securities Investment Protection Corporation (SIPC), and a registrant of the Municipal Securities Rulemaking Board (MSRB). The executive management team at SSG created and managed Jack White & Company's advisor services since 1978 and then led TD Waterhouse Institutional Services upon the acquisition of Jack White by TD Waterhouse in 1998. SSG was formed in 2002, and today its comprehensive service platform serves the business and operational requirements of hundreds of registered investment advisors across the country.

Since 1939, Pershing has been providing brokerage execution, clearance, data processing and investment access to broker/dealers like SSG. Pershing LLC (member NYSE/NASD/SIPC) is a member of every major U.S. securities exchange, and its international affiliates are members of the Frankfurt, Irish and London Stock Exchanges. A subsidiary of The Bank of New York Mellon, Pershing has extensive financial resources. Pershing has over 6 million accounts, over \$700 billion in client assets, and equity capital of \$604 million. The Bank of New York has over \$18 trillion in custody assets with equity capital of \$9.3 billion.

Service and Value

Shareholders Service Group provides high quality service to registered investment advisors and institutions nationwide. From quality securities executions, informative client statements, and stringent customer service standards, to portfolio data downloads, management fee processes and more, SSG and Pershing are committed to providing the best brokerage and custodial services for advisors and their clients.

Our transaction fees, if applicable, are highly competitive, comparable to discount brokerage firms. Your advisor can provide complete details of our transaction fees, including a schedule of all fees. And in between monthly statements, you can view your account online at any time to review holdings and transactions.

Account Protection

Your account is protected to the full account value. As a member of SIPC, we provide account protection up to \$500,000, including \$100,000 for claims of cash. An explanatory brochure is available at www.sipc.org. Additional insurance through Lloyd's of London provides excess account protection for assets held up to an aggregate limit of \$1 billion, of which \$1.9 million may cover cash awaiting reinvestment at the individual account level. This amount in excess account protection offers the highest level of coverage that will be available in the industry.

**Shareholders Service Group
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www.shareholdersgroup.com



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